

NEW PUC 26 AUG 19 PM 1:54

August 23, 2019

FILED ELECTRONICALLY AND VIA OVERNIGHT MAIL

New Hampshire Public Utilities Commission
Debra Howland, Executive Director
21 South Fruit Street, Suite 10
Concord, N.H. 03301-2429

Re: Initial Application of Energy CX, LLC as a Natural Gas Aggregator

Dear Ms. Howland:

Pursuant to PUC 3006.02, please find enclosed the application of Energy CX, LLC, for initial registration as a natural gas aggregator in New Hampshire.

Enclosed within is the requisite original application as well as two copies. In accordance with New Hampshire Public Utilities Commission rules this application has also been filed electronically.

Please also find attached a check for the required initial fee in the amount of \$250.00, made payable to the New Hampshire Public Utilities Commission.

Please do not hesitate to let me know if you have any questions or concerns about this application.

Sincerely,

Mark Rice

President

New Hampshire Public Utilities Commission (NHPUC)
Natural Gas Aggregator Application
Energy CX, LLC

- (1) The legal name of the applicant as well as any trade name(s) under which it intends to operate in this state:
Energy CX, LLC
- (2) The applicant's business address, telephone number, e-mail address and website address, as applicable:
3501 Woodhead Dr., #5
Northbrook, IL 60062
(847) 509-2290
mark@energycx.com
www.energycx.com
- (3) The name(s), title(s), business address(es), telephone number(s), and e-mail address(es) of the applicant if an individual or of the applicant's principal(s) if anything other than an individual:
Mark Rice, President
3501 Woodhead Dr., #5
Northbrook, IL 60062
(847) 509-2280
mark@energycx.com
- (4) The telephone number of the customer service department or the name, title, telephone number and e-mail address of the customer service contact person of the applicant, including toll free telephone numbers if available:
Ellen Rice, Vice President
(847) 509-2290
ellen@energycx.com

New Hampshire Public Utilities Commission (NHPUC)
Natural Gas Aggregator Application
Energy CX, LLC

- (5) A copy of the applicant's authorization to do business in New Hampshire from the secretary of state, if anything other than an individual;
Please see authorization attached.
- (6) Description of the geographic areas of New Hampshire in which the applicant intends to provide service:
Applicant intends to market as an aggregator in the following utility territories:
 - a. Liberty Utilities / EnergyNorth**
 - b. Unitil / Northern Utilities, Inc.**
- (7) A statement that the applicant is not representing any supplier interest, or a listing of any supplier interest(s) the applicant intends to represent:
Applicant represents Agera Energy LLC, Direct Energy Business Marketing, LLC, and Sprague Operating Resources, LLC.
- (8) Payment of the required filing fee: **Please see check enclosed in the amount of \$250.00, made payable to the New Hampshire Public Utilities Commission.**
- (9) The signature of the applicant or its representative. **Please see signature page attached.**

New Hampshire Public Utilities Commission (NHPUC)
Natural Gas Aggregator Application
Energy CX, LLC

SIGNATURE

I, Mark Rice, President,
(print name and title)

declare that I have personally reviewed the above statements and that they are true and correct and complete in all material respects, to the best of my knowledge. I further declare that the information contained in this application was prepared and compiled under my supervision and control. I further declare that I am authorized by the applicant to file this application on its behalf.

Dated this _____ day of _____, 2019 at _____
(day) (month) (year) (place of execution)

Signature: _____
Title: _____ President _____



State of New Hampshire

Department of State

Filed
Date Filed : 05/22/2019 12:02:00 PM
Effective Date : 05/22/2019 12:02:00 PM
Filing # : 4519017 Pages : 3
Business ID : 820099
William M. Gardner
Secretary of State
State of New Hampshire

Form FLLC-1
RSA 304-C:175

APPLICATION FOR REGISTRATION AS A FOREIGN LIMITED LIABILITY COMPANY

PURSUANT TO THE PROVISIONS of the New Hampshire Limited Liability Company laws, the undersigned hereby applies for registration to transact business in New Hampshire and for that purpose submits the following statement:

FIRST: The name of the limited liability company is:

ENERGY CX, LLC

SECOND: The name which it proposes to register and do business in New Hampshire is:

ENERGY CX, LLC

Principal Business Information:

Principal Office Address:

3501 WOODHEAD DRIVE, #5	Northbrook	IL	60062
(no. & street)	(city/town)	(state)	(zip code)

Principal Mailing Address(if different):

3501 WOODHEAD DRIVE, #5	Northbrook	IL	60062
(no. & street)	(city/town)	(state)	(zip code)

Business Phone: **NONE**

Business Email: **miles@energycx.com**

☐ Please check if you would prefer to receive the Annual Report Reminder Notice by email.

THIRD: It is formed under the laws of **Illinois**

FOURTH: The date of its formation is **04/29/2019**

FIFTH: Describe the nature of the business or purposes to be conducted or promoted in New Hampshire (and if known, list the NAICS Code and Sub Code):

42-Wholesale Trade - 990-Other Miscellaneous Nondurable Goods Merchant Wholesalers

SIXTH: The name of its registered agent in New Hampshire is:

Unisearch, Inc. (728580)

The complete address of its registered office **IN NEW HAMPSHIRE** (agent's business address) is:

10 Ferry Street 313	Concord	NH	03301
(no. & street)	(city/town)	(state)	(zip code)

Manager/Member Information:

<u>Name</u>	<u>Title</u>	<u>Address</u>
MARK RICE	Manager	5601 WOODHEAD DR, #5, Northbrook, IL, 60062, USA

The period of its duration is: **Not Stated**

OTHER MATTERS (Attached)

Title: **Manager**
Signature: **MARK RICE**
Name of Signer: **MARK RICE**
Date signed: **05/22/2019**
Effective Date: **05/22/2019 12:02:00 PM**

Complete address of person signing: **3501 WOODHEAD DRIVE, #5, Northbrook, IL, 60062, USA**

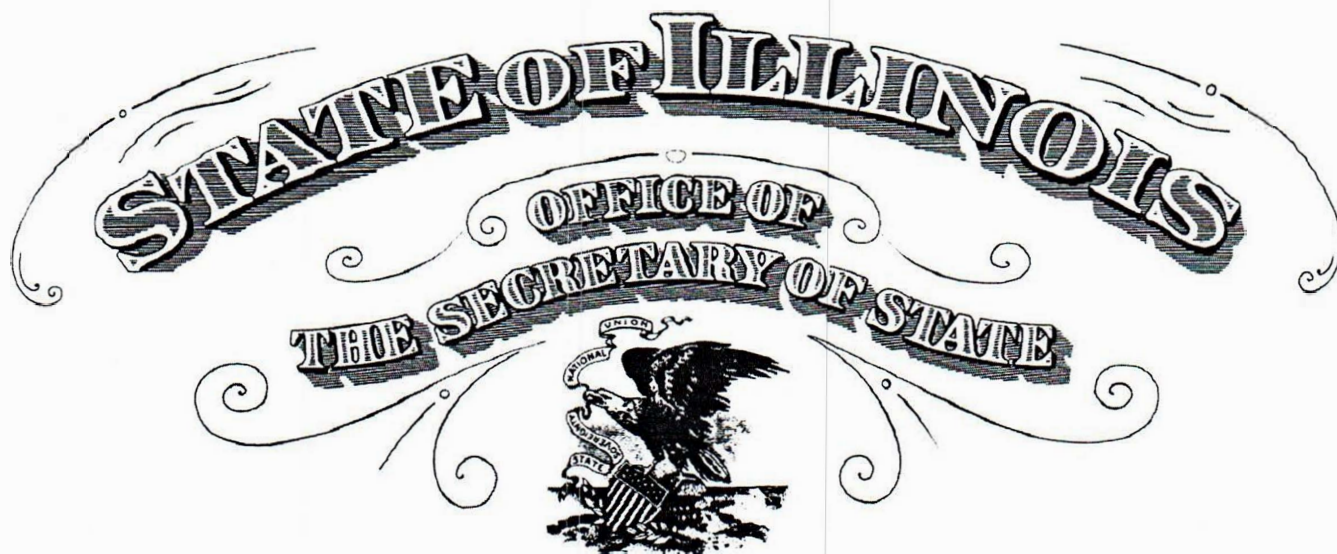
Note: The sale or offer for sale of membership interests of the limited liability company will comply with the requirements of the New Hampshire Uniform Securities Act (RSA 421-B). The membership interests of the limited liability company: 1) have been registered or when offered will be registered under RSA 421-B; 2) are exempted or when offered will be exempted under RSA 421-B; 3) are or will be offered in a transaction exempted from registration under RSA 421-B; 4) are not securities under RSA 421-B; OR 5) are federal covered securities under RSA 421-B. The statement above shall not by itself constitute a registration or a notice of exemption from registration of securities within the meaning of sections 448 and 461(i)(3) of the United States Internal Revenue Code and the regulation promulgated thereunder.

*Shall be executed on behalf of the foreign limited liability company by a person with authority to do so under the laws of the state or other jurisdiction of its formation, or, if the foreign limited liability company is in the hands of a receiver, executor, or other court appointed fiduciary, trustee, or other fiduciary, it must be signed by that fiduciary.

DISCLAIMER: All documents filed with the Corporation Division become public records and will be available for public inspection in either tangible or electronic form.

File Number

0758710-4



To all to whom these Presents Shall Come, Greeting:

I, Jesse White, Secretary of State of the State of Illinois, do hereby certify that I am the keeper of the records of the Department of Business Services. I certify that

ENERGY CX, LLC, HAVING ORGANIZED IN THE STATE OF ILLINOIS ON APRIL 29, 2019, APPEARS TO HAVE COMPLIED WITH ALL PROVISIONS OF THE LIMITED LIABILITY COMPANY ACT OF THIS STATE, AND AS OF THIS DATE IS IN GOOD STANDING AS A DOMESTIC LIMITED LIABILITY COMPANY IN THE STATE OF ILLINOIS.



In Testimony Whereof, I hereto set
my hand and cause to be affixed the Great Seal of
the State of Illinois, this 21ST
day of MAY A.D. 2019 .

Jesse White

SECRETARY OF STATE

State of New Hampshire

Department of State

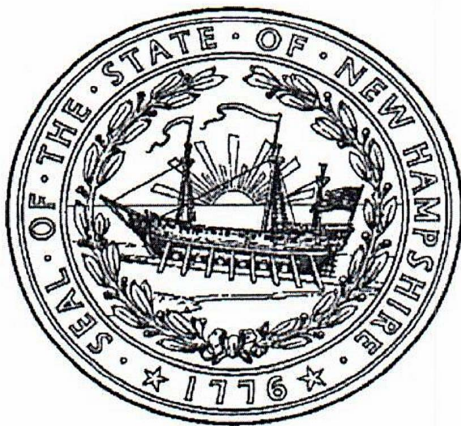
CERTIFICATE OF EXISTENCE

OF

ENERGY CX, LLC

This is to certify that **ENERGY CX, LLC** is registered in this office as a **Illinois Limited Liability Company** to transact business in New Hampshire on 5/22/2019 12:02:00 PM.

Business ID: **820099**



IN TESTIMONY WHEREOF,
I hereto set my hand and cause to be affixed
the Seal of the State of New Hampshire,
this 22nd day of May A.D. 2019

A handwritten signature in black ink, appearing to read "Wm Gardner".

William M. Gardner
Secretary of State